CITED BY APPLICANT

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



A SERVICIO DE CONTROL D

(43) International Publication Date 13 November 2003 (13.11.2003)

PCT

(10) International Publication Number WO 03/094438 A1

(51) International Patent Classification7:

- (21) International Application Number: PCT/EP02/04865
- (22) International Filing Date: 1 May 2002 (01.05.2002)
- (25) Filing Language:

English

H04L 12/28

(26) Publication Language:

English

- (71) Applicant (for all designated States except US): TELE-FONAKTIEBOLAGET LM ERICSSON (PUBL) [SE/SE]; S-126 25 STOCKHOLM (SE).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): GREGORIO RODRIGUEZ, Jesús, Angel de [ES/ES]; Hermanos Machado, 4, P3, 2°C, 28660 Boadilla del Monte (Madrid) (ES). MONJAS LLORENTE, Miguel, Angel [ES/ES]; Embajadores, 177, 28045 Madrid (ES).

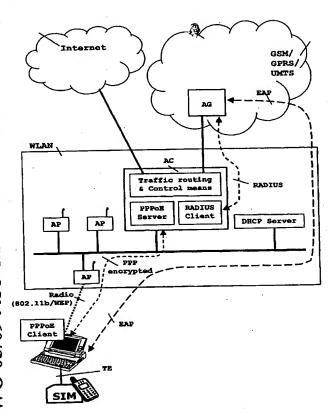
- (74) Agent: ELZABURU, Alberto de; Miguel Angel, 21, 28010 Madrid (ES).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

[Continued on next page]

(54) Title: SYSTEM, APPARATUS AND METHOD FOR SIM-BASED AUTHENTICATION AND ENCRYPTION IN WIRE-LESS LOCAL AREA NETWORK ACCESS



(57) Abstract: The invention refers to a system, apparatus and method for carrying out a SIM-based authentication of a user accessing a WLAN, without having provided yet an IP connectivity, along with a layer-2 encryption mechanism for protecting the path between the Terminal Equipment and the Mobile network. Therefore, the invention provides a method for establishing a PPP-tunnelling for AKA dialogues between the terminal and an Access Controller for accessing the mobile network owning the SIM. The invention also provides an Access Controller (AC) comprising a Point-to-Point over Ethernet (PPPoE) server for tunnelling AKA dialogues form a PPP-client installed in the terminal for the same purpose, and also comprising a Traffic Router and a RADIUS-client. The AC thus including a RADIUS-client is interposed between a RADIUS-proxy accessed from the access points (AP) in the WLAN and the mobile network where SIM-based authetication is carried For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

SYSTEM, APPARATUS AND METHOD FOR SIM-BASED AUTHENTICATION AND ENCRYPTION IN WIRELESS LOCAL AREA NETWORK ACCESS

FIELD OF THE INVENTION

5 [0001] The present invention generally relates to authentication and encryption mechanisms in Wireless Local Area Network scenarios. More particularly, the invention pertains to means, system and methods for a SIM-based authentication and a layer-2 encryption mechanisms for protecting the communication path from the Terminal Equipment onwards.

BACKGROUND

20

[0002] In 1999, the specification 802.11b was published by the IEEE for Wireless Local Area Network (WLAN) access at rates of 11 Mbps. This standard has become widely supported by the industry and has a huge installed base in enterprise companies, as well as publicly accessible hot spots such as airports, hotels, cafes and so on.

[0003] This specification 802.11b offers to some extent authentication and access control mechanisms as well as confidentiality, but only in the wireless path. In this respect, two authentication methods are defined in this standard, namely "Open System" and "Shared Key".

[0004] When the Open System is used, a WLAN card in the Terminal Equipment (TE) announces that it wants to associate to a WLAN Access Point (hereinafter abbreviated as AP). No authentication is performed and only some basic access control mechanisms are used like, for instance,

filters and Service Set (MAC) Control Media Access Identifiers (SSID).

5

15

20

25

30

[0005] These MAC filters are arranged for working so that only WLAN cards whose MAC address belongs to a list kept by the AP, such as an Access Control List (ACL), are allowed to associate to the AP. This access control mechanism has a limited utility, since the identity of the entity trying to associate does not actually belong to a user, but rather to the equipment itself. If a terminal or card is stolen, 10 there is no user-based authentication to prevent access to the resources by the stolen equipment. Furthermore, as the MAC addresses of the WLAN card always appear in the headers of the WLAN frames, MAC-address spoofing is a trivial attack. This is of a special relevance since most of the WLAN cards in the market can change its MAC address just by using software means.

mechanism is control [0006] The other access aforementioned Service Set Identifier (SSID), which is an alphanumeric code that identifies the instance of the WLAN that the Terminal Equipment (TE) is trying to associate. A given AP only allows the association of WLAN cards that provide a right SSID. However, as this identifier is usually broadcast by the AP's, and even without changing the default value set by the vendor, this access control mechanism is, again, rather useless since a plurality of well known attacks may occur.

[0007] A second authentication method mentioned above is the so-called Shared Key. This procedure is embedded in a basic confidentiality mechanism provided by the Wired Equivalent Privacy (WEP) standard, which is a symmetric encryption algorithm based on RC4. The authentication as such is performed by using a challenge-response mechanism in which both parties, the WLAN card and the AP, show to

March Carlot March C

15

20

25

30

 $(E_{1}, \frac{1}{2}, \dots, \frac{1}{2}, \dots, \frac{1}{2}, \dots, \frac{1}{2}, \dots)$

own a same key. However, this key is installed and stored in the Terminal Equipment (TE), and hence it suffers from the same disadvantages as described when talking about MAC filters.

5 [0008] Moreover, a number of recently published papers have shown the fundamental flaws of the privacy mechanism itself, that is, the flaws of WEP standards. Those flaws begin with the use of static WEP keys, what allows an attacker to find out the keys themselves, since the initialisation vectors of the algorithms are sent in the clear within the WEP frame. A number of passive attacks, like for example a WLAN card that only sniffs the traffic, allow also to deduce the keys.

[0009] At the beginning, it seemed that just by refreshing the keys with a better key management, and by increasing their length, for example from 40 to 128 bits, the algorithm could be safer or, at least, safe enough to achieve an acceptable security. However, more and more recent reports have proven that the algorithm design as such cannot provide an acceptable security level.

[0010] Nowadays, efforts are made by the industry and representative fora to solve the flaws in the presently applicable standards. The IEEE is currently defining a new standard to improve the authentication mechanisms of the existing 802.11b, and the results may be published as a so-called 802.1x standard, a "Port-Based Network Access Control", but this work is not finished yet. Moreover, this approach only takes into account authentication, so that a proper confidentiality algorithm is still needed. In this respect, current trends suggest that a protocol based in the so-called Advanced Encryption System (AES) protocol may replace WEP. Nevertheless, the port-based authentication mechanism as suggested in 802.1x has a significant impact

on the TE operating system and in the AP's applicable software, since 802.1x just seeks a replacement for the authentication mechanism based on WEP, and the WEP itself.

[0011] In a short term, a massive adoption of this new standard 802.1x, with the still unresolved flaws above, will lead to new investments in WLAN equipment, since all the AP's of a given WLAN would have to be replaced or, at least, upgraded. Additionally, and somewhat obvious, any WLAN confidentiality mechanism only provides protection on the wireless path, that is, between the WLAN card and the AP. However, the corresponding Ethernet traffic beyond the AP is not encrypted at all.

[0012] It is therefore an important object of the present invention at this stage the provision of means and methods for allowing an effective authentication mechanism of WLAN users as well as a complete encryption mechanism throughout the whole communication path starting from the Terminal Equipment of said users.

RELATED ART

- 20 [0013] In short, and as widely described above, the Authentication in currently WLAN applicable standards, namely 802.11, is either non-existent, or device-based when the physical MAC address of the WLAN card is used for authenticating the TE. This is apparently unfeasible for large deployments given that an encryption achieved through the WEP protocol like in WLAN, which is known for its weaknesses, is not found from different sectors appropriate for maintaining an acceptable security.
- [0014] By way of contrast, authentication in conventional and newer public land mobile networks like GSM, GPRS, or UMTS is done by means of a SIM card and a set of security-proved protocols and algorithms known as "Authentication"

Agreement" (hereinafter abbreviated and algorithms. A so-called SIM-based authentication is userbased since a SIM is designed for personal use, and protected by an access PIN.

- [0015] Nowadays, mobile operators want to extend their 5 offering in access networks by including broadband access, and the WLAN technology makes it possible with access rates up to 11 Mbps, while keeping an extremely low deployment cost, mostly due to the use of unlicensed spectrum band in WLAN. A mobile operator can achieve this by installing 10 their own WLAN or by signing agreements with existing WLAN operators, but in either case, the security requirements should be at least as strong as in the case of a mobile access to the operators core network.
- [0016] To achieve this, a WLAN operator must offer an 15 authentication and encryption mechanism that implies the possession of a SIM card. This SIM card must be issued by the mobile operator and can be the same SIM used for mobile access, or can be a SIM issued on purpose for WLAN access only. 20
 - [0017] A conventional WLAN operated by a third party can also have its own local users, and the authentication to be performed for said local is completely up to the WLAN operator. For instance, this authentication for local users might be just based on user identity plus password, or even no security at all. However, for those users that are subscribed to a mobile operator, the authentication and should WLAN said through security issues comparable to the ones in the mobile operator's network. On the other hand, a WLAN deployed and operated only by a mobile operator should deny access to users not belonging that mobile operator, and should only implement authentication mechanisms based on a SIM card.

25

- [0018] Nevertheless, any attempt to introduce new and safer mechanisms for authentication and encryption in WLAN must be aimed to produce as less impacts as possible in current WLAN scenarios.
- 5 [0019] A quite interesting attempt to solve the problems above is described in the US application publication 2002/0009199 with title "Arranging Data Ciphering in a Wireless Telecommunication System". The teaching behind this application also presents a SIM-based authentication scheme.
 - [0020] This SIM-based authentication scheme is, however, intended to derive a ciphering key that is used as the key of the 802.11 native WEP algorithm for traffic encryption between the TE and the AP. The main advantage that this application introduces over the existing WEP capabilities is the addition of a new mechanism for renewing the keys once per session. Apart from that, this application is basically a modified version of the current WEP standards and does not solve the fundamental problems stated above for the original WEP version.

- [0021] Nevertheless, different sectors in the industry have assessed that well known WEP attacks can guess a WEP key in less than two hours. Obviously, if the WEP key is static and never renewed, as in the original WEP version, the problem is much more significant. Consequently, with the approach presented in US 2002/0009199 the problem is restricted to the limits of the duration of a given session and, if a session expands over few hours, the same problem as before arises. This is clearly insufficient for granting similar security levels to those found in current public land mobile networks.
 - [0022] In this respect, it is an object of the present invention the achievement of a much higher security level

allowing the operator to choose an encryption algorithm that better suites their security needs. Notice that there is usually a trade-off between security level and performance. Therefore, additional features like supporting keys with a length of 128, 168, 256 bits, etc.; as well as supporting the latest most secure algorithms, like AES for instance, and a key rotation procedure may be considered another object of the present invention.

[0023] Moreover, in accordance with this application above, US 2002/0009199, the encrypted path goes from the Mobile Terminal to the AP, since WEP is only applicable to the radio path. In this respect, the support for an encryption path to be established beyond the AP, and covering also the wired part of the WLAN, is a further object of the present invention.

that the 2002/0009199 teaches US [0024] Furthermore, assignment of an IP address is done before running the authentication process, and hence, a malicious user can potentially initiate a whole set of well-known attacks. However, if a user had no means to get IP connectivity 20 before having been effectively authenticated, the risk would decrease greatly. Thereby, it is a further object of the present invention the provision of an authentication mechanism for a user to be carried out before giving IP connectivity to said user. 25

[0025] In summary, an important object of the present invention is the provision of a system, means and methods for allowing an effective SIM-based user authentication and for establishing a complete encryption path, starting from the TE, for WLAN users who are subscribers of a public land mobile network. Another particularly important object is that this SIM-based user authentication might be performed before giving IP connectivity to said user.

[0026] A further object of the present invention is the support of keys of variable length, the use of security algorithms at operator choice, and provision of a key rotation procedure.

5 [0027] A still further object of the present invention is the achievement of the previous objects with a minimum impact on conventional WLAN scenarios.

SUMMARY OF THE INVENTION

[0028] The objects of the invention are achieved with a method for allowing a SIM-based authentication to users of a wireless local area network who are subscribers of a public land mobile network by means of a data link layer (layer-2) authentication mechanism. An important aspect of this method is that the IP connectivity is only provided to the user when the authentication process has been successfully completed.

[0029] The objects of the invention are thus achieved with a method wherein a wireless terminal finds an accessible Access Point and requests association to the wireless local area network, and the Access Point accepts the request for that. The wireless terminal then initiates the discovering of an Access Controller interposed between the Access Point and the public land mobile network.

- [0030] Then, the wireless terminal sends the user identifier immediately on top of a Point-to-Point layer 2 protocol toward the Access Controller which shifts the user identifier received on top of a Point-to-Point layer 2 protocol upwards to an authentication protocol residing at application layer.
- 30 [0031] Following, the Access Controller sends the user identifier toward an Authentication Gateway at the public

land mobile network to initiate an authentication procedure.

[0032] Once, the authentication process is starting the Access Controller receives an authentication challenge from the public land mobile network via the Authentication Gateway; and shifts the authentication challenge received on the same protocol at application layer downwards on top of the Point-to-Point layer 2 protocol. The authentication challenge is sending by the Access Controller toward the wireless terminal for deriving an authentication response.

10

15

20

25

30

terminal send wireless [0033] Then, the authentication response immediately on top of a Point-to-Point layer 2 protocol toward the Access Controller which shifts the authentication response received on top of a to upwards 2 protocol layer Point-to-Point The application layer. protocol at authentication toward the sending is response authentication Authentication Gateway from the Access Controller that receives an encryption key from the public land mobile network via the Authentication Gateway.

[0034] Following, the Access Controller extracts the encryption key received on the protocol at application layer for further encryption of communication path with the wireless terminal; and the Access Controller sends an assigned IP-address and other network configuration parameters toward the wireless terminal.

the mobile the advantages that provides [0035] This terminal adds security authentication mechanism, similar to the ones used in radio communication network, in overall that it path, this means communication confidentiality in wireless path and in wire path. networks, offering extend their access operators can localized broadband access (11 Mbps) at a very low cost.

[0036] Also for accomplishing the objects of the present invention there is provided an Access Controller that comprises a Point-to-Point server residing at an OSI layer-2 for communicating with the wireless terminal; and an authentication protocol residing at an OSI application layer for communicating with the public land mobile network. Moreover, this Access Controller further comprises means for shifting the information received on top of the Point-to-Point layer-2 protocol upwards to an appropriate authentication protocol residing at application Likewise, the Access Controller also comprises means for shifting the information received on the authentication protocol residing at application layer downwards on top of the Point-to-Point layer 2 protocol.

[0037] In order to fully accomplish the objects of the 15 also provided a wireless terminal invention, it is comprising functionality for acting as a Point-to-Point Extensible client and having an protocol layer Authentication Protocol on top of this Point-to-Point layer 20 2 protocol.

[0038] The overall solution provided by the invention results in a telecommunication system comprising a wireless local area network that includes at least one Access Point, a public land mobile network, at least one wireless terminal as above, and the Access Controller above.

BRIEF DESCRIPTION OF DRAWINGS

10

25

advantages and objects [0039] The features, invention will become apparent by reading this description in conjunction with the accompanying drawings, in which:

[0040] FIG. 1 represents a preferred embodiment of how a 30 user of a conventional mobile network accessing through a WLAN, which can be accessed by mobile and non-mobile users,

may be authenticated by his own mobile network and may have an encrypted path from the TE to his own mobile network.

[0041] FIG. 2 presents a simplified architecture compared to the one in Fig. 1, and applicable to a WLAN accessed only by users of a public land mobile network.

[0042] FIG. 3 schematically shows an embodiment of an Access Controller comprising a PPPoE Server and a RADIUS Client wherein the Extensible Authentication Protocol resides.

10 [0043] FIG. 4 basically shows an exemplary sequence of actions carried out from the TE to the mobile network and throughout the WLAN entities to perform a SIM-based user authentication.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

- currently preferred describes following [0044] The 15 embodiments of means, methods and system for allowing an authentication user SIM-based effective establishing a complete encryption path starting from the TE for WLAN users who are subscribers of a public land mobile network. In accordance with an aspect of the present 20 invention, this SIM-based user authentication is performed before having given IP connectivity to said user.
- overall sketch of a preferred [0045] Therefore, an embodiment is presented in Fig. 1, showing a general scenario where subscribers of a public land mobile network 25 (GSM/GPRS/UMTS), as well as other local non-mobile users, access a wireless local area network (WLAN). This general a particularly 1 proposes Fig. architecture aimed to minimise the impacts on an existing conventional WLAN in order to accomplish one of the objects 30 of the present invention. This rather simple architecture

25

involves different entities from a WLAN and from a public land mobile network, which are described following this. Moreover, Fig. 2 presents an even more simplified architecture in accordance with another embodiment of the present invention for a WLAN giving access only to subscribers of a public land mobile network and without local WLAN users.

[0046] A first entity in Fig. 1 and 2 is the Terminal Equipment (TE), that is equipped with the necessary hardware and software to interface the user's SIM card as 10 well as to send and receive the required signalling information according to the Authentication and protocol. The TEalso includes Agreement (AKA) necessary software to implement a Point-to-Point Protocol over Ethernet (PPPoE) protocol, client side, accordingly 15 with RFC 2516.

[0047] The inclusion of such PPPoE client allows the establishment of a Point-to-Point Protocol (PPP) session with a specific server in the WLAN domain. This is a very convenient embodiment in order to leverage on existing authentication mechanisms, for instance the Extensible Authentication Protocol (EAP), and on encryption protocols, like the PPP Encryption Control Protocol (hereinafter referred to as "PPP encrypted") according to RFC 1968, that extends the encryption path along the wired part of the WLAN, thus offering a much higher security level. A component like this PPPoE Client is a core part for the proposed solution.

[0048] Other entities in the scenarios in Fig. 1 and 2 are the Access Points that behave as plain standard radio stations according to the standard 802.11b, without any additional logic. Unlike other possible solutions, as explained in respect of the coming standard 802.1x, the

approach offered by the present invention allows the reuse of the cheap existing hardware instead of having to replace or upgrade all AP's present in the WLAN. These unchanged AP's might run in this scenario with WEP support turned off, since such WEP offers by itself a little security compared to the security mechanisms that are implemented on top of the PPPoE layer.

accordance with an aspect of the present [**0049]** In invention, there is provided a new entity, the Access 10 Controller (hereinafter referred to as AC) in both Fig. 1 PPPoE required comprises the that automatically server is PPPoE functionality. This discovered by the Terminal Equipment (TE), by means of a built-in mechanism in the PPPoE protocol, namely through a handshake initiated by a broadcast message. This Access RADIUS а comprises Controller (AC) also functionality that has the responsibility of gathering client credentials, received through EAP attributes carried on top of a PPP, and sending them toward a conventional also through EAP (WLAN-AS), WLAN Authentication Server attributes carried now on top of RADIUS messages. A component like this Access Controller (AC) is also a core part for the purpose of the present solution.

15

20

25

[0050] Both the Access Controller and the aforementioned PPPoE client, which is embedded in the Terminal Equipment, tunnelling entities intended for co-operating challenge-response authentication procedure as well as for establishing an encrypted path.

[0051] A further entity present only in the most general scenario shown in Fig. 1 is a WLAN-Authentication Server 30 (WLAN-AS) that implements the functionality of a local authenticator server for local WLAN users, not belonging to the mobile operator, and who may be thus authenticated by other means such as a plain user and password matching. This WLAN-AS also plays the role of a RADIUS proxy, when receiving authentication messages from the Access Controller and forwards them toward an Authentication Gateway (hereinafter referred to as AG) in the public land mobile network operator's domain.

[0052] The WLAN-AS is only required for the purpose of the present invention in order to authenticate own WLAN users who are not mobile subscribers of the public land mobile network. Consequently, a WLAN intended for giving access only to subscribers of a mobile network may get rid of such entity without affecting the authentication of said mobile subscribers and the establishment of an encrypted path, scope of the present invention. In this respect, Fig. 2 presents an embodiment of a simplified architecture for a WLAN giving access only to subscribers of a public land mobile network as explained above wherein the WLAN-AS is thus not included.

10

15

[0053] A still further entity included in the scenarios of Fig. 1 and 2 is the Authentication Gateway (hereinafter 20 referred to as AG) alone or likely in co-operation with a Home Location Register (HLR) for storing mobile subscribers user data. This Authentication Gateway (AG), alone or in combination with an HLR, act as authentication backend servers inside the operator's domain, and are in charge of 25 generating authentication vectors according to the AKA protocol for conventional and newer public land mobile networks such as GSM, GPRS and UMTS. These components, namely AG and HLR, can be physically separate entities which communicate each other by the Mobile Application Part 30 (MAP) protocol, or they can be a single logical entity acting as a RADIUS server and with the subscriber database built-in, together with the implementation of the necessary algorithms in AKA, such as the well-known A5, A8 and so on.

20

25

In the latter approach, the communication toward an HLR is, hence, not needed as exemplary illustrated in Fig. 2.

[0054] In short, the Access Controller, the aforementioned PPPoE client, which is embedded in the Terminal Equipment, and this Authentication Gateway are the core entities for the purpose of the present invention. The particular description for the functions residing in such entities is merely illustrative and in non-restrictive manner.

[0055] Fig. 3 shows different protocol layers involved in an Access Controller (AC) with reference to the Open System Interconnection (OSI) model. The PPPoE server, residing underneath an IP layer, comprising a PPPoE protocol layer that naturally resides over an Ethernet layer, and having embedded the aforementioned EAP. Likewise, the RADIUS client having a RADIUS protocol layer having embedded the EAP, residing over an UDP layer, both residing over an IP layer.

[0056] On the other hand, the manner in which the different elements carry out some aspects of the present invention accordingly with currently preferred embodiments is described below with reference to the sequence of actions depicted in Fig. 4.

[0057] The aforementioned Terminal Equipment (TE) is equipped with a Mobile Terminal Adapter (MTA) that allows the access to a SIM card carried by a mobile terminal. This TE has a transceiver for communicating (C-401, C-402) with an AP of the WLAN, and includes the appropriate software stack to implement the PPPoE protocol in accordance with the RFC 2516.

30 [0058] The Access Controller (AC) has a PPPoE server embedded. The discovery of the PPPoE server by the PPPoE client is an integral part of the protocol itself (C-403,

1 / 10 10

C-404, C-405, C-406). The identity used by the TE on the PPP link (C-407, C-408) is a Network Access Identifier (NAI), which is entered by the user for establishing required dial-up sessions, and whose realm is used to identify the user as a subscriber of a given mobile operator. No password is needed since the authentication is done by other means. Alternatively, instead of sending a NAI, the IMSI could be fetched from the SIM card and sent as the user identity. This should only be used if sending the IMSI in clear-text is acceptable, which might not be the case.

10

15

20

25

30

[0059] Having received the user identity with help of EAP mechanisms, the Access Controller (AC) has a RADIUS client for sending (C-409) authentication messages to the WLAN-AS server. The Extensible Authentication Protocol (EAP) is run on top of PPP and RADIUS, in order to carry authentication information between the TE and the AG. The authentication mechanism to be used inside EAP may be the conventional AKA used in public land mobile networks. As already mentioned above, the WLAN-AS acts as an authentication server for regular WLAN users, whose authentication is not SIM-based, and as an authentication proxy for those users whose realm part of the NAI identifies them as subscribers of a mobile network thus using a SIM-based authentication. Then, when acting as an authentication proxy, the WLAN-AS forwards (Creceived authentication messages to the the Authentication Gateway (AG).

[0060] When the Authentication Gateway receives (C-410) an authentication request, asks the HRL for an authentication vector (C-411), triplet or quintet, by using a MAP interface. For this task, the Authentication Gateway (AG) has to know the IMSI of the subscriber whose NAI have been sent in the RADIUS message. This IMSI may be discovered by lookup in a directory database, for instance. The HLR

25

30

answers back with the requested authentication information (C-412) for the user.

[0061] Then, the AG encapsulates the RAND component of the authentication vector in an EAP attribute and sends it back through the WLAN-AS (C-413) toward the AC (C-414) inside a RADIUS message. Notice that for user of newer mobile networks like UMTS, the sending of a message like AUTN might also be required.

[0062] The AC then forwards (C-415) the received EAP information to the TE in a PPP message. Notice that the AC behaves here as a "passthrough" of EAP information between "carrier" protocols such as PPP and RADIUS.

[0063] When the TE receives the EAP information, extracts the RAND number and uses it to challenge the SIM and generate an answer (RES), that is sent back (C-416, C-417, C-418) to the AG via EAP transmitted over PPP and RADIUS again. As before, for UMTS users the TE first authenticates the network, based on the AUTN. At this stage, it has to be noticed that the TE generates the encryption key following the standard algorithms defined in AKA. This key is used as a seed, namely keying material, to derive one or multiple session keys to be used with the PPP Encryption Control Protocol stated in RFC 1968, and any of the existing PPP encryption algorithms, for instance, the PPP triple-DES encryption protocol, RFC 2420.

[0064] The AG receives (C-418) the EAP response and checks the validity of the challenge. The AKA encryption key (Kc) had been received previously in the authentication vector from the HLR likely in co-operation with an Authentication Centre (AuC) not depicted. The AG communicates then the AKA encryption key (Kc) to the AC (C-419, C-420) where the PPPoE server resides. This may be done in an Access-Accept RADIUS message where the EAP-Success is transmitted, but

since this EAP command cannot carry any additional data, a RADIUS Vendor Specific Attribute (VSA) may be a more valuable option.

[0065] At this stage, the AC receives (C-420) an Access-Accept RADIUS message and requests an IP address from a Dynamic Host Configuration Protocol (DHCP) server, this IP address to be further sent to the TE. The AC follows the same algorithm as the TE to derive session keys from the AKA encryption key (Kc) to be used with the PPP Encryption Control Protocol and the chosen PPP encryption algorithm (3DES, for instance). The AC eventually sends (C-421) the with other EAP-success message to the TE, together configuration parameters destined to said TE, such as an IP address, an IP net mask, DNS servers, and so on. Then, the PPP link is fully established and ready to enter the 15 network phase.

CLAIMS

5

10

15

20

25

- 1. A method in a telecommunication system for allowing a SIM-based authentication to users of a wireless local area network who are subscribers of a public land mobile network, the method comprising the steps of a wireless terminal finding an accessible Access Point and requesting association to the wireless local area network, and the Access Point accepting the request, the method characterized in that it further comprises the steps of:
 - (a) interposing an Access Controller between the Access Point and the public land mobile network;
 - (b) discovering the Access Controller from at least one wireless terminal provided with a SIM card and adapted for reading user data thereof;
 - (c) carrying out a challenge-response authentication procedure between the wireless terminal and the public land mobile network through the Access Controller without having provided yet IP connectivity to the user, the challenge-response authentication submissions carried:
 - on top of a Point-to-Point layer 2 protocol between the wireless terminal and the Access Controller; and
- on an authentication protocol residing at application layer between the public land mobile network and the Access Controller; and
 - (d) offering IP connectivity to the user at the wireless terminal, by sending an assigned IP address and other network configuration parameters,

20

once said user has been validly authenticated by the public land mobile network.

- 2. The method in claim 1, wherein the step c) of carrying out the challenge-response authentication procedure include the steps of:
 - (c1) sending a user identifier from the wireless terminal to the public land mobile network through the Access Controller;
- (c2) receiving an authentication challenge at the wireless terminal from the public land mobile network via the Access Controller;
 - (c3) deriving encryption key and authentication response at the wireless terminal from the received challenge;
 - (c4) sending the authentication response from the wireless terminal to the public land mobile network through the Access Controller;
 - (c5) receiving at the Access Controller an encryption key from the public land mobile network; and
 - (c6) extracting the encryption key received for further encryption of communication path with the wireless terminal.
- 3. The method in claims 1 or 2, further comprising the step of shifting authentication information received on top of a Point-to-Point layer 2 protocol upwards to an authentication protocol residing at application layer for submissions toward the public land mobile network.
- 4. The method in claim 3, further comprising the step of shifting authentication information received on an

authentication protocol residing at application layer downwards on top of a Point-to-Point layer 2 protocol for submissions toward the wireless terminal.

- 5. The method in claim 1, further comprising the step of establishing at the wireless terminal a symmetric encryption path by using the previously derived encryption keys at the Access Controller and wireless terminal.
- 6. The method in any preceding claim, wherein the step d)

 10 of sending an IP address includes a previous step of requesting such IP address from a Dynamic Host Configuration Protocol server.
- 7. The method in any preceding claim, wherein the communication between the Access Controller and the public land mobile network goes through an Authentication Gateway of said public land mobile network.
- 8. The method in any preceding claim, wherein the communication between the Access Controller and the Authentication Gateway of a public land mobile network goes through an Authentication Server of the wireless local area network in charge of authenticating local users of said wireless local area network who are not mobile subscribers.
- 25 9. The method in any preceding claim, wherein the user identifier in step c1) comprises a Network Access Identifier.
- 10. The method in any preceding claim, wherein the user identifier in step c1) comprises an International Mobile Subscriber Identity.

- 11. The method in any preceding claim, wherein the authentication protocol residing at application layer in step c) is an Extensible Authentication Protocol.
- 12. The method in claim 11, wherein this Extensible

 Authentication Protocol is transported over a RADIUS protocol.
 - 13. The method in claim 11, wherein this Extensible Authentication Protocol is transported over a Diameter protocol.
- 10 14. An Access Controller in a telecommunication system that comprises a wireless local area network including at least one Access Point, a public land mobile network, and at least one Terminal Equipment provided with a SIM card and adapted for reading subscriber data thereof, the Access Controller characterized in that it comprises:
 - (a) a Point-to-Point server residing at an OSI layer 2 for communicating with the wireless terminal; and
- (b) an authentication protocol residing at an OSI application layer for communicating with the public land mobile network.
 - 15. The Access Controller in claim 14 further comprising:
 - (a) means for shifting the information received on top of the Point-to-Point layer 2 protocol upwards to the authentication protocol residing at application layer; and

30

(b) means for shifting the information received on the authentication protocol residing at application layer downwards on top of the Point-to-Point layer 2 protocol. 16. The Access Controller in claim 15 further comprising means for requesting an IP address from a Dynamic Host Configuration Protocol server, after a user has been successfully authenticated by his public land mobile network.

5

- 17. An Access Controller according to claim 16 adapted for communicating with a wireless terminal via an Access Point.
- 18. An Access Controller according to claim 16 adapted for communicating with a public land mobile network via an Authentication Gateway.
 - 19. An Access Controller according to claim 16 adapted for communicating with an Authentication Gateway via an Authentication Server responsible for authenticating local users of a wireless local area network.
 - 20. An Access Controller according to any of claims 14 to 19, wherein the authentication protocol residing at application layer is an Extensible Authentication Protocol.
- 20 21. The Access Controller in claim 20, wherein this Extensible Authentication Protocol is transported over a RADIUS protocol.
- 22. The Access Controller in claim 20, wherein this Extensible Authentication Protocol is transported over a Diameter protocol.
 - 23. A wireless terminal comprising functionality for acting as a Point-to-Point layer 2 protocol client and having an Extensible Authentication Protocol on top of this Point-to-Point layer 2 protocol.

24. A telecommunication system comprising a wireless local area network that includes at least one Access Point, a public land mobile network, and at least one Terminal Equipment provided with a SIM card and adapted for reading subscriber data thereof, characterized in that it further comprises the Access Controller in claims 14 to 22 for allowing SIM-based subscriber authentication to users of the wireless local area network who are subscribers of the public land mobile network.

PCT/EP02/04865

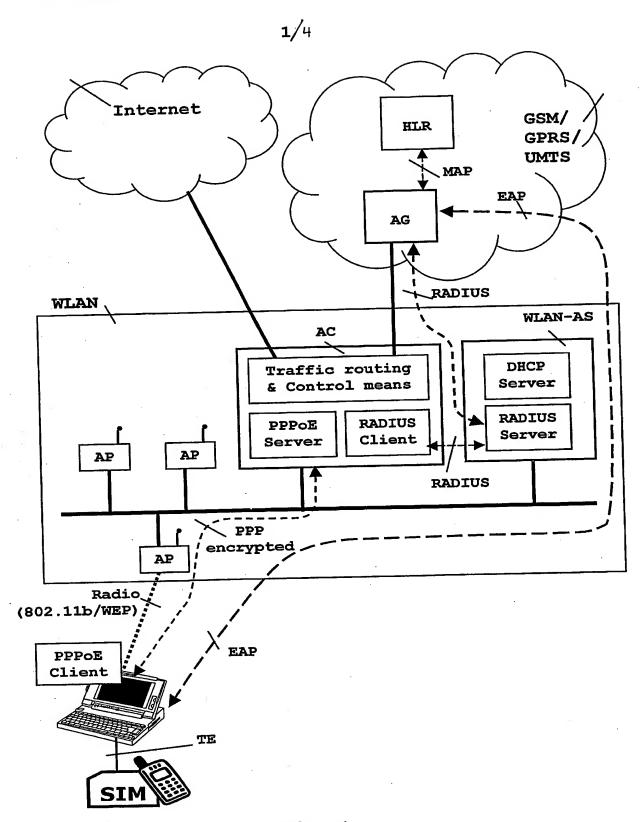


FIG.-1-

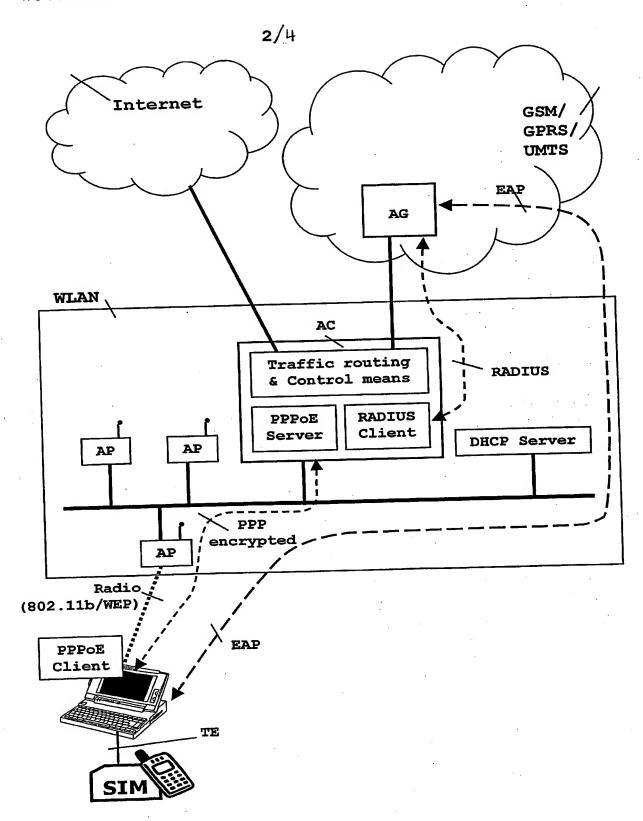


FIG.-2-

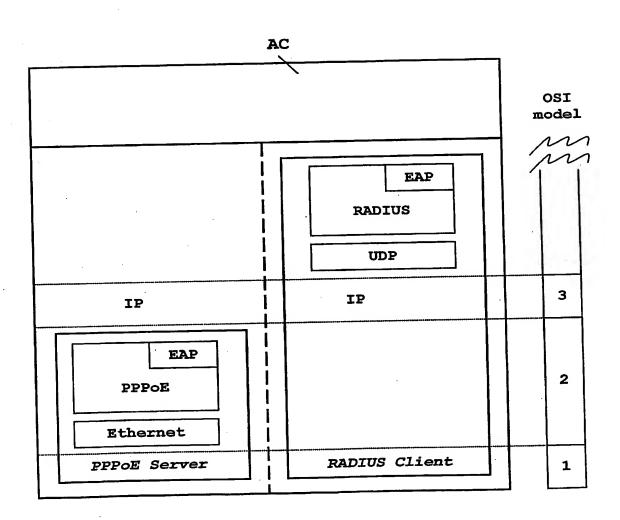
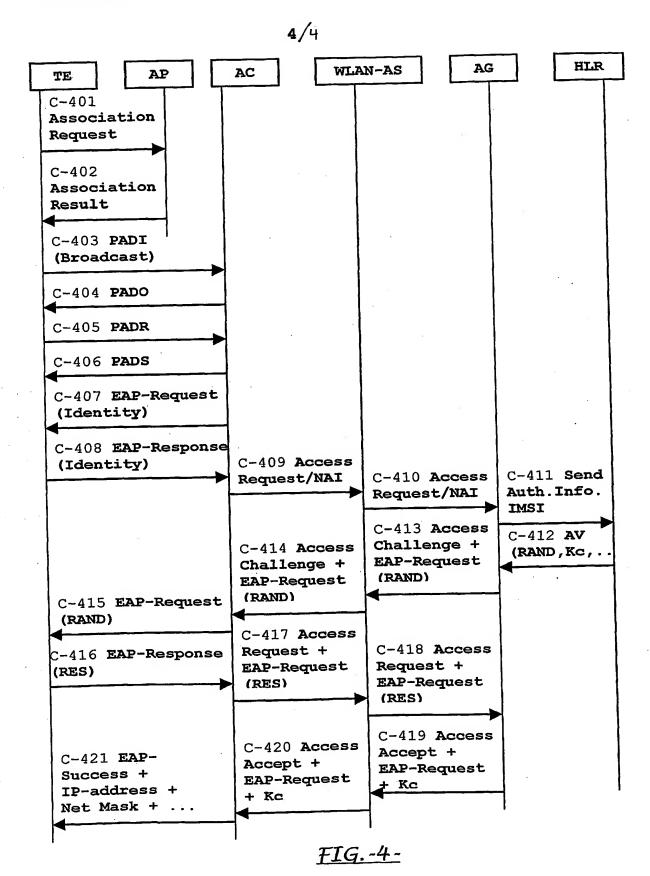


FIG.-3-



IMMERNATIONAL SEARCH REPORT

ational Application No PCT/EP 02/04865

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H04L12/28				
A	International Patent Classification (IPC) or to both national classificatio	n and IPC		
			,	
B. FIELDS S	cumentation searched (classification system followed by classification :	symbols)		
IPC 7	H04L		,	
Documentation	on searched other than minimum documentation to the extent that such	n documents are included in the fields sea	arched	
Documentary	on startings that the man was a second			
		and the provided agent terms used		
	ta base consulted during the International search (name of data base	and, where practical scalar is the energy		
EPO-Int	ernal, WPI Data, PAJ			
0.00011115	NTS CONSIDERED TO BE RELEVANT			
	Citation of document, with indication, where appropriate, of the relevant	ant passages	Relevant to claim No.	
Category °	Citation of document, with indication, whose appropriate			
	THE SOCIAL ASSETS AT A TENTE TO THE SECOND	G ET	1-24	
Х	US 2002/012433 A1 (EKBERG JAN-ERIK AL) 31 January 2002 (2002-01-31)	. 4 21	,	
	paragraph '0001!		· · · · · · · · · · · · · · · · · · ·	
	paragraph '0171! - paragraph '017	' 5!		
	paragraph '0177! - paragraph '018	37!		
	paragraph '0263!	· .	, (
	paragraph '0265!			
{	paragraph '0279! paragraph '0323!			
	paragraph '0342! - paragraph '03!	50!		
]	paragraph of the paragraph	_		
	<u>-</u> /	/	,	
Į.		•		
į.				
]			}	
1				
İ				
1				
X Fur	ther documents are listed in the continuation of box C.	Y Patent family members are listed	in annex.	
• Special c	ategories of cited documents:	T later document published after the in	emetional filing date	
'A' docum	ent defining the general state of the art which is not	or priority date and not in conflict wit cited to understand the principle or t	n the application but heory underlying the	
cons	dered to be of particular relevance	Invention "X" document of particular relevance; the	claimed invention	
filing	date	cannot be considered novel or cannot involve an inventive step when the	or de considereu (v	
1 which	ent which may throw doubts on priority claim(s) or I is cited to establish the publication date of another	"Y" document of particular relevance; the cannot be considered to involve an	claimed invention	
citati	on or other special reason (as specified) nent referring to an oral disclosure, use, exhibition or	document le combined with one of f	none otner such ducu-	
other	other means			
'P' docum	than the priority date claimed	*&" document member of the same pater		
Date of the	e actual completion of the international search	Date of mailing of the international s	earch report	
	16 December 2002	02/01/2003		
1		Authorized officer		
Name and	mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Admorate onioe		
]	NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,	Rothlübbers, C		
1	Fav. (+31=70) 340-3016	Kocilianners, C		

IMPERNATIONAL SEARCH REPORT

etional Application No

		PCT/EP 02/04865
	tion) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Category °		
X	WO 01 76297 A (NOKIA MOBILE PHONES LTD; RINNEMAA JYRI (FI); EKBERG JAN ERIK (FI);) 11 October 2001 (2001-10-11) page 1, line 3 - line 5 page 1, line 28 - line 32 page 2, line 15 - line 18 page 6, line 9 -page 7, line 10 page 9, line 13 -page 12, line 24 page 21, line 29 -page 22, line 24	1,14,23,
		*
	, w	

.		
	*	0

ERNATIONAL SEARCH REPORT

Information on patent family members

	li ational Application No
I	etional Application No PCT/EP 02/04865

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 2002012433	A1	31-01-2002	AU WO	2683801 A 0176134 A1	15-10-2001 11-10-2001
WO 0176297	A	11-10-2001	AU WO	5043901 A 0176297 A1	15-10-2001 11-10-2001

This Page is inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

	BLACK BORDERS
	IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
	FADED TEXT OR DRAWING
	BLURED OR ILLEGIBLE TEXT OR DRAWING
P	SKEWED/SLANTED IMAGES
· The	COLORED OR BLACK AND WHITE PHOTOGRAPHS
ů	GRAY SCALE DOCUMENTS
	LINES OR MARKS ON ORIGINAL DOCUMENT
	REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
	OTHER:

IMAGES ARE BEST AVAILABLE COPY.
As rescanning documents will not correct images problems checked, please do not report the problems to the IFW Image Problem Mailbox